

## Learning to rank in person re-identification with metric ensembles

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The task of person re-identification (re-id) is to match pedestrian images observed from multiple cameras. It has recently gained popularity in research community due to its several important applications in video surveillance. An automated re-id system could save a lot of human labour in exhaustively searching for a person of interest from a large amount of video sequences.

We propose an effective structured learning based approach to the problem of person re-identification which outperforms the current state-of-the-art on most benchmark data sets evaluated. Our framework is built on the basis of multiple low-level hand-crafted and high-level visual features. We then formulate two optimization algorithms, which directly optimize evaluation measures commonly used in person re-identification, also known as the Cumulative Matching Characteristic (CMC) curve. Our new approach is practical to many real-world surveillance applications as the re-identification performance can be concentrated in the range of most practical importance. The combination of these factors leads to a person re-identification system which outperforms most existing algorithms. More importantly, we advance state-of-the-art results on person re-identification by improving the rank-1 recognition rates from 40% to 50% on the iLIDS benchmark, 16% to 18% on the PRID2011 benchmark, 43% to 46% on the VIPeR benchmark, 34% to 53% on the CUHK01 benchmark and 21% to 62% on the CUHK03 benchmark.

The main contributions of this paper are twofold: 1) We propose principled approaches to build an ensemble of person re-id metrics. The first approach aims at maximizing the relative distance between images of different individuals and images of the same individual such that the CMC curve approaches one with a minimal number of returned candidates. The second approach directly optimizes the probability that any of these top  $k$  matches are correct using structured learning. Our ensemble-based approaches are highly flexible and can be combined with linear and non-linear metrics. 2) Extensive experiments are carried out to demonstrate that by building an ensemble of person re-id metrics learned from different visual features, notable improvement on rank-1 recognition rate can be obtained. Experimental results show that our approach achieves the state-of-the-art performance on most person re-id benchmark data sets evaluated. In addition, our ensemble approach is complementary to any existing distance learning methods.

**Notation** Bold lower-case letters, e.g.,  $w$ , denote column vectors and bold upper-case letters, e.g.,  $P$ , denote matrices. We assume that the provided training data is for the task of single-shot person re-identification, i.e., there exist only two images of the same person – one image taken from camera view A and another image taken from camera view B. We represent a set of training samples by  $\{(x_i, x_i^+)\}_{i=1}^m$  where  $x_i \in \mathbb{R}^D$  represents a training example from one camera (i.e., camera view A), and  $x_i^+$  is the corresponding image of the same person from a different camera (i.e., camera view B). Here  $m$  is the number of persons in the training data. From the given training data, we can generate a set of triplets for each sample  $x_i$  as  $\{(x_i, x_i^+, x_{i,j}^-)\}$  for  $i = 1, \dots, m$  and  $i \neq j$ . Here we introduce  $x_{i,j}^- \in \mathcal{X}_i^-$  where  $\mathcal{X}_i^-$  denotes a subset of images of persons with a different identity to  $x_i$  from camera view B. We also assume that there exist a set of distance functions  $d_t(\cdot, \cdot)$  which calculate the distance between two given inputs. Our goal is to learn a weighted distance function:  $d(\cdot, \cdot) = \sum_{t=1}^T w_t d_t(\cdot, \cdot)$ , such that the distance between  $x_i$  (taken from camera view A) and  $x_i^+$  (taken from camera view B) is smaller than the distance between  $x_i$  and any  $x_{i,j}^-$  (taken from camera view B). The better the distance function, the faster the cumulative matching characteristic (CMC) curve approaches one.

**Relative distance based approach (CMC<sup>triplet</sup>)** In order to minimize  $k$  such that the rank- $k$  recognition rate is equal to 100%, we consider learning an ensemble of distance functions based on relative comparison of triplets. Given a set of triplets  $\{(x_i, x_i^+, x_{i,j}^-)\}_{i,j}$ , in which  $x_i$  is taken from cam-

Data set	# Individuals		Prev. best	Ours
	train	test		
iLIDS	59	60	40.3% [3]	<b>50.3%</b>
3DPeS	96	96	<b>54.2%</b> [3]	53.3%
PRID2011	100	100	16.0% [2]	<b>17.9%</b>
VIPeR	316	316	43.4% [4]	<b>45.9%</b>
CUHK01	486	485	34.3% [4]	<b>53.4%</b>
CUHK03	1260	100	20.7% [1]	<b>62.1%</b>

**Table 1:** Rank-1 recognition rate of existing best reported results and our results. The best result is shown in boldface.

era view A and  $\{x_i^+, x_{i,j}^-\}$  are taken from camera view B, the basic idea is to learn a distance function such that images of the same individual are closer than any images of different individuals, i.e.,  $x_i$  is closer to  $x_i^+$  than any  $x_{i,j}^-$ . For a triplet  $\{(x_i, x_i^+, x_{i,j}^-)\}_{i,j}$ , the following condition must hold  $d(x_i, x_{i,j}^-) > d(x_i, x_i^+), \forall j, i \neq j$ . Following the large margin framework with the hinge loss, the condition  $d(x_i, x_{i,j}^-) \geq 1 + d(x_i, x_i^+)$  should be satisfied. This condition means that the distance between two images of different individuals should be larger by at least a unit than the distance between two images of the same individual. Since the above condition cannot be satisfied by all triplets, we introduce a slack variable to enable soft margin. By generalizing the above idea to the entire training set, the primal problem that we want to optimize can be written as,

$$\begin{aligned} \min_{w, \xi} \quad & \frac{1}{2} \|w\|_2^2 + \nu \frac{1}{m(m-1)} \sum_{i=1}^m \sum_{j=1}^{m-1} \xi_{ij} \\ \text{s.t.} \quad & w^\top (d_j^- - d_i^+) \geq 1 - \xi_{ij}, \forall \{i, j\}, i \neq j; \\ & w \geq 0; \xi \geq 0. \end{aligned} \quad (1)$$

Here  $\nu > 0$  is the regularization parameter and  $d_j^- = [d_1(x_i, x_{i,j}^-), \dots, d_t(x_i, x_{i,j}^-)]$ ,  $d_i^+ = [d_1(x_i, x_i^+), \dots, d_t(x_i, x_i^+)]$  and  $\{d_1(\cdot, \cdot), \dots, d_t(\cdot, \cdot)\}$  represent a set of base metrics.

**Results** The algorithm proposed in [3] achieves state-of-the-art results on iLIDS and 3DPeS data sets (40.3% and 54.2% recognition rate at rank-1, respectively). Our approach outperforms [3] on the iLIDS (50.3%) and achieve a comparable result on 3DPeS (53.3%). Zhao *et al.* propose mid-level filters for person re-identification [4], which achieve state-of-the-art results on the VIPeR and CUHK01 data sets (43.39% and 34.30% recognition rate at rank-1, respectively). Our approach outperforms [4] by achieving a recognition rate of 45.89% and 53.40% on the VIPeR and CUHK01 data sets, respectively. Table 1 compares our results with other state-of-the-art methods on other person re-identification data sets.

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