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## **Ambient Occlusion via Compressive Visibility Estimation**

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The problem of recovering intrinsic properties of a scene/object from images has attracted much attention in the past decade. Tremendous efforts have been focused on intrinsic properties related to shading and reflectance [1, 2]. In this paper, we explore a challenging type of intrinsic properties called the ambient occlusion map. Ambient Occlusion (AO) characterizes the visibility of a surface point due to local geometry occlusions. Given a scene point *x*, its AO measures the occlusion of ambient light caused by local surface geometry:

$$A(x) = \frac{1}{\pi} \int_{\mathbf{\Omega}} v(x, \dot{w}) \langle \dot{w} \cdot \dot{n} \rangle d\dot{w}$$
(1)

where  $\dot{w}$  is the direction of incident light;  $\dot{n}$  is the normal of x; and  $\langle \cdot \rangle$  refers to the dot product.  $v(x, \dot{w})$  is the local visibility function and is equal to 0 if the light ray from  $\dot{w}$  is occluded from x.

Intuitively, we can illuminate the object using a dense set of uniform directional lights  $\dot{w}_i$  and sum up images captured from all directions.

$$\sum_{i=1}^{N} I_i = \rho \sum_{i=1}^{N} v_i \langle \dot{w}_i \cdot \dot{n} \rangle = \rho \tilde{A} c$$
<sup>(2)</sup>

AO term  $\tilde{A}$  cannot be resolved since the albedo  $\rho$  is also unknown. Hauagge et.al [3] assume the visibility function follows cone-shaped distribution centered at the normal as  $A = \pi \sin^2 \alpha$ ,  $\alpha$  is the cone's half angle. Under uniformly distributed lighting, they show that computing  $\kappa = E[I]^2/E[I^2]$  ( $E[\cdot]$  stands for expectation) directly cancels the albedo. For their assumption to work, densely distributed light sources will be needed.

Instead of capturing one lighting direction at a time, we aim to enable multiple lighting directions in one shot. A downside though is that we cannot use the  $\kappa$  statistics to cancel out the albedo. Instead, we build our solution on compressive signal reconstruction. We use a binary vector  $b = [l_1, ... l_N]$  to represent the status of N lighting directions, where  $l_i = 1$  or 0 corresponds to if the lighting direction  $\dot{w}_i$  is enabled or disabled. We have:

$$I = \rho \sum_{i=1}^{N} l_i v_i \langle \dot{w_i} \cdot \dot{n} \rangle \tag{3}$$

We can now use a set of *M* strategically coded directional lighting patterns. For each pattern  $b^j$ , j = 1...M, we capture an image  $I^j$ . This results in an  $M \times N$  measurement matrix  $B = [b^1, b^2 \dots b^M]^T$ . Rewrite Eqn. 3 as

$$I = \rho B[V * W(\dot{n})] \tag{4}$$

where  $W(\dot{n}) = [\langle \dot{w_1} \cdot \dot{n} \rangle, \langle \dot{w_2} \cdot \dot{n} \rangle, ..., \langle \dot{w_N} \cdot \dot{n} \rangle]$  and [\*] refers to the pairwise element-wise product. Given the measurements, we aim to solve for  $\rho$ , V and  $\dot{n}$ . Our solution is to reduce the problem to two sub-problems and solve them using iterative optimization.

**Visibility Recovery Sub-problem.** *V* is a binary pattern and solving *V* in this optimization is NP-hard. We reduce this problem to an  $\ell_{\infty}$  regularized  $\ell_1$  minimization:

$$\hat{\rho}, \hat{V} \leftarrow \arg\min_{\rho, V} \{ \|\rho B(W_0 * V) - I\|_2^2 + \lambda_1 \|V\|_1 + \lambda_1 \|V - 0.5\|_{\infty} + \lambda_2 \|\nabla V\|_1 \}$$
(5)

where  $\lambda_1, \lambda_2$  and  $\lambda_3$  are weighting factors. The new objective function consists of four terms: 1)  $\|\rho B(W_0 * V) - I\|_2^2$  corresponds to the fidelity term where the estimated *V* should be consistent with the observed pixel intensities *I*; 2)  $\|V\|_1$  is the sparse prior term that forces the visibility of negligible light directions should be zero. With this term, the solution would favor a



Top row shows the system setup. Bottom row is the real experiment result.

sparse set of visible light directions; 3)  $||V - 0.5||_{\infty}$  is the binary prior term. It is used to clamp the elements of V with high values to 1 and lows values to 0. Combining  $||V||_1$  and  $||V - 0.5||_{\infty}$  with weighting factors allows us to obtain an *approximate* binary solution; and 4)  $||\nabla V||_1$  is the total variation term, i.e., to bias towards a solution with compact visible areas.

**Normal Recovery Sub-problem** We then threshold the  $\hat{V}$  to get a binary visibility vector  $\tilde{V}$ . Now that we have both the visibility vector and albedo, we can refine the estimation of normal  $\dot{n}$  by solving for the following least square problem:

$$\bar{\rho}, \bar{n} \leftarrow \arg\min_{\rho, \bar{n}} \quad \|\hat{\rho}B[W(\bar{n}) * \tilde{V}] - I\|_2$$
Subject to  $\|\dot{n}\|_2 = 1$ 
(6)

Specifically, we relax the constraint to  $||\dot{n}|| \leq 1$  and solve it via constrained least square minimization. Next, we use the result  $\bar{n}$  to update *W*. We repeat the process to iteratively improve the visibility and normal estimation.

We construct an encodable directional light source using the light field probe [4] and validate our approach. Experiments show that our scheme produces AO estimation at comparable accuracy to [3] but with a much smaller set of images. In addition, we can recover more general visibility functions beyond the normal-centered cone-shaped models.

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This is an extended abstract. The full paper is available at the Computer Vision Foundation webpage.