

## Evaluation of Output Embeddings for Fine-Grained Image Classification

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Image classification has advanced significantly in recent years with the availability of large-scale image sets. However, fine-grained classification remains a major challenge due to the annotation cost of large numbers of fine-grained categories. We show that compelling classification performance can be achieved on such categories even without labeled training data.

Following [1], given a specific input embedding, we derive a prediction by maximizing the compatibility  $F$  over SJE as follows:

$$f(x; W) = \arg \max_{y \in \mathcal{Y}} F(x, y; W) = \arg \max_{y \in \mathcal{Y}} \theta(x)^\top W \varphi(y).$$

where  $\theta(x)$  is the input embedding and  $\varphi(y)$  is the output embedding. The matrix  $W$  is learned by enforcing the correct label to be ranked higher than any of the other labels [7], the objective is:

$$\frac{1}{N} \sum_{n=1}^N \max_{y \in \mathcal{Y}} \{0, \ell(x_n, y_n, y)\}. \quad (1)$$

where  $\ell(x_n, y_n, y) = \Delta(y_n, y) + \theta(x_n)^\top W \varphi(y) - \theta(x_n)^\top W \varphi(y_n)$ . For zero-shot learning: we use  $\varphi(y)$  of training classes and learn  $W$ . For prediction, we project  $\theta(x)$  of test images onto the  $W$  and search for the nearest  $\varphi$  that corresponds to one of the test classes.

We use state-of-the-art image features [6] and focus on different supervised and unsupervised output embeddings described in the following:

**Attributes** ( $\varphi^{0,1}$  &  $\varphi^A$  [3]) model shared characteristics of objects. For instance, for *rat*, *monkey*, *whale* and the attribute *big*,  $\varphi^{0,1} = [0, 0, 1] \rightarrow \text{rat} = \text{monkey} < \text{whale}$ , whereas  $\varphi^A = [2, 10, 90] \rightarrow \text{rat} < \text{monkey} \ll \text{whale}$ .

**Word2Vec** ( $\varphi^{\mathcal{W}}$  [4]) a two-layer neural network is trained to predict a set of target words from a set of context words. The first layer acts as a look-up table to retrieve the embedding for any word in the vocabulary. The second layer predicts the target word(s) via hierarchical soft-max. We use the skip-gram (SG) formulation where words within a local context window are predicted from the centering word.

**GloVe** ( $\varphi^{\mathcal{G}}$  [5]) incorporates co-occurrence statistics of words that frequently appear together within the document. The objective is to learn word vectors such that their inner product equals the co-occurrence probability of these two words.

**Weakly-supervised Word2Vec** ( $\varphi^{\mathcal{W}_{ws}}$ ) we pre-train the first layer weights using [4] on Wikipedia, and fine-tune the second layer weights using a negative-sampling objective [2] only on the fine-grained text corpus. These weights correspond to the final output embedding. The negative sampling objective is formulated as follows:

$$L = \sum_{w, c \in D_+} \log \sigma(v_c^\top v_w) + \sum_{w', c \in D_-} \log \sigma(-v_c^\top v_{w'}) \quad (2)$$

$$v_c = \sum_{i \in \text{context}(w)} v_i / |\text{context}(w)|$$

where  $v_w$  and  $v_{w'}$  are the label embeddings we seek to learn, and  $v_c$  is the average of word embeddings  $v_i$  within a context window around word  $w$ .  $D_+$  consists of context  $v_c$  and matching targets  $v_w$ , and  $D_-$  consists of the same  $v_c$  and mismatching  $v_{w'}$ .

**Bag-of-Words** ( $\varphi^B$ ) we collect Wikipedia articles that correspond to each object class and build a vocabulary of most frequently occurring words. We then build histograms of these words to vectorize our classes.

**Hierarchies** ( $\varphi^{\mathcal{H}}$ ) we measure the similarity between two classes by estimating the distance between terms in an ontology such as WordNet.

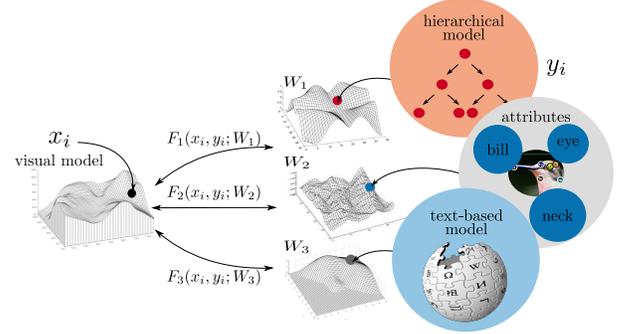


Figure 1: Structured Joint Embedding leverages images ( $x_i$ ) and labels ( $y_i$ ) by learning parameters  $W$  of a function  $F(x_i, y_i, W)$  that measures the compatibility between input ( $\theta(x_i)$ ) and output embeddings ( $\varphi(y_i)$ ).

**Combined embeddings** to learn a better joint embedding we combine  $\varphi$ :

$$F(x, y; \{W\}_{1..K}) = \sum_k \alpha_k \theta(x)^\top W_k \varphi_k(y) \text{ s.t. } \sum_k \alpha_k = 1. \quad (3)$$

We emphasize the following take-home points: (1) Unsupervised label embeddings learned from text corpora yield compelling zero-shot results (Tab. 1), outperforming previous supervised SoA on AWA and CUB [1].

supervision	source	$\varphi$	AWA	CUB	Dogs
unsupervised	text	$\varphi^{\mathcal{W}}$	51.2	<b>28.4</b>	19.6
	text	$\varphi^{\mathcal{G}}$	<b>58.8</b>	24.2	17.8
	text	$\varphi^B$	44.9	22.1	<b>33.0</b>
	WordNet	$\varphi^{\mathcal{H}}$	51.2	20.6	24.3
supervised	human	$\varphi^{0,1}$	52.0	37.8	-
	human	$\varphi^A$	<b>66.7</b>	<b>50.1</b>	-

Table 1: Zero-shot learning results with SJE w.r.t. supervised and unsupervised output embeddings (Input embeddings: GoogLeNet [6])

(2) In combination, unsupervised output embeddings (w/o supervision) improve zero-shot performance, suggesting that they provide complementary information (Tab. 2).

supervision	method	AWA	CUB	Dogs
unsupervised	SJE (cmb)	60.1	29.9	<b>35.1</b>
supervised	SJE (cmb)	<b>73.9</b>	<b>51.7</b>	-
	SoA [1]	49.4	27.3	-

Table 2: Comparing SJE combined embeddings with SoA.

(3) There is still a large gap between the performance of unsupervised output embeddings and human-annotated attributes on AWA and CUB, suggesting that better methods are needed for learning discriminative output embeddings from text.

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